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**REQUEST FOR QUALIFICATION
NUMBER 924536**

ISSUE DATE: 03 June, 2014

THIS IS NOT AN ORDER

ADDENDUM #4

REQUEST FOR QUALIFICATION

VALLEY LINE LRT - STAGE 1

This Addendum forms part of the RFQ Documents and modifies them as stated herein.

4.1: Replace Appendix B, Section B 6 of the RFQ as shown in tracking below:

APPENDIX B – DETAILED SUBMISSION REQUIREMENTS

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B 6 Asset Management and Preservation of Project Infrastructure

B 6.1 Organization of Maintenance, Rehabilitation and Hand Back Team Members, Collective Experience, Capacity and Depth

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The Respondent should include 3 projects that evidence Team Member experience in the following:

- planning and implementing life cycle maintenance management and replacement and rehabilitation programs for LRT systems (preferably urban, low-floor LRT systems) and infrastructure, such as bridges and other structures;
- planning and imple.....

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B 6.3 Approach, Key Issues and Risk Identification

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The approach description shall include, but is not restricted to the items listed below. When explaining the approach, Respondents are encouraged to discuss examples of past approaches and experiences which have informed their proposed approach to the Project. Specifically, Respondents are requested to provide details on each of the following items:

- a) approach to maintenance, repair, and life cycle replacement (including mid-life overhaul) of LRVs, train control systems, traffic control equipment, communications, ~~overhead catenary~~traction power systems, traction power and central control equipment;
- b) approach to maintenance, repair, and life cycle replacement of all station and infrastructure components of the Project as might be necessary over the term of the Project Agreement;
- c) approach to routine maintenance activities including: station stop and platform areas, ice and snow removal from wayside tracks and ~~overhead catenary~~traction power systems, salting, cleaning, line painting, pavement maintenance;
- d) approach to mana.....

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4.2: Replace Section 5.2.14 of the RFQ as shown in tracking below:

5. EVALUATION OF SUBMISSIONS

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5.2 Evaluation Steps

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5.2.14 *Debriefing*

Unsuccessful ~~and shortlisted~~ Respondents can request a debrief at the earliest possible convenience of the City following the evaluation of the VLS 1 submissions required as part of the RFP (projected to occur during Q4 2014).

All shortlisted Respondents will be offered the opportunity to receive an RFQ Submission debrief within four weeks following the issuance of the RFP.

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4.3: Add the following definitions to Appendix A of the RFQ as shown in tracking below:

APPENDIX A – DEFINITIONS

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Guarantee: a written agreement by a parent company or Affiliate to perform or otherwise satisfy the financial and performance obligations of a related Team Member.

Guarantor: a parent company or Affiliate providing a Guarantee for the performance obligations, including financial obligations or liabilities, of a related Team Member.

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4.4: Replace Appendix B, Section B 8 of the RFQ as shown in tracking below:

APPENDIX B – DETAILED SUBMISSION REQUIREMENTS

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B8 Financial and Organizational Capacity

Financial Capacity

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To facilitate further evidence of these matters, the Respondent shall include (where applicable) for each Team Member, ~~or and~~ where a Team Member is a subsidiary to a ~~Pparent~~ ~~Ccompany~~ ~~or an Affiliate~~ that has provided a ~~Pparent~~ ~~Ccompany~~ ~~or Affiliate~~ Guarantee ("Guarantee") for the Team Member ~~explicitly~~ for the purposes of the Project, then also for that ~~Pparent~~ ~~Ccompany~~ ~~or other Affiliate~~ ("Guarantor"):

- ~~the Parent Company Guarantee Letter~~ a letter from a Guarantor confirming that a Guarantee is being provided by the Guarantor for a Team Member, on terms that must reasonably satisfy the City explicitly stating the Parent Company Guarantee in relation to the Project and the Team Member included in the Respondent to the reasonable satisfaction of the City;;

- copies of the

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- a signed letter from the Chief Financial Officer (or equivalent financial authority) of the Team Member setting out, for the Team Member, ~~and~~ its ~~Affiliates~~ ~~Guarantor~~ ~~or its Parent Company~~ (if a ~~Parent Company~~ Guarantee is to be provided), a description of:

- any off-.....

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If the above information does not exist, it may be replaced with equivalent financial information satisfactory to the City to demonstrate that the Team Member has sufficient financial standing, capacity, and resources to carry out its respective role on the Project.

For the avoidance of doubt, where a subcontractor or subconsultant has been designated a Team Member by the Respondent, and the performance ~~and~~ obligations of such subcontractor or subconsultant ~~is guaranteed by~~ are also the responsibility of another Team Member (with supporting written information included in the RFQ submission), then the financial information requirements set out above are only required for the Team Member with the ultimate performance obligation (meaning the prime contractor or any Guarantor of the performance obligations of the subcontractor or subconsultant) for the particular work or service subject matter.

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Organizational capacity

The Respondent shall also detail the organizational capacity of the Team Members to undertake the Project work requirements. This shall include a description of the depth and breadth of Team Member resources, particularly in relation to the Key Individual qualification requirements, as well as a description of other projects that the Respondent and/or Team Members are currently engaged on or anticipate being engaged on during the potential design and construction phase of the Project. To support this, the Respondent shall include:

- a letter from the Chief Financial Officer (or equivalent organizational authority) of each Team Member setting out a description of:
 - its involvement in current active projects with a contract value to the Team Member in excess of 5% of annual gross revenues of the Team Member and the status thereof (including anticipated construction completion / operational start and end dates); and
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For the avoidance of doubt, where a subcontractor or subconsultant has been designated a Team Member by the Respondent, and the performance ~~and~~ obligations of such subcontractor or subconsultant ~~is guaranteed by~~ are the responsibility of another Team Member (with supporting written information included in the RFQ submission), then the organizational capacity requirements set out above are only required for the Team Member with the ultimate performance obligation (meaning the prime contractor or any Guarantor of the performance obligations of the subcontractor or subconsultant) for the particular work or service subject matter.

Notwithstanding the foregoing, where any Team Member is responsible for approximately 25% or more of the contract value of any of the design services, construction works, operations, asset management or long term maintenance obligations as would be typically expected for the Project, then those Team Members' organizational capacity information as set out above is required to meet the Submission requirements of this Section B 8.

For the avoidance of doubt, the information required in this Section B 8 is in addition to the information required for all Team Members in Section B 9.

4.5: Replace Appendix B, Section B 9 of the RFQ as shown in tracking below:

APPENDIX B – DETAILED SUBMISSION REQUIREMENTS

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B 9 Due Diligence Information

To assist the City in completing its evaluation of a Respondent and to further assess the Respondent’s capability to fully perform all Project Agreement obligations in a satisfactory manner over an approximate 35 year term, the City requires each Respondent to submit the following information for each Team Member regarding; relevant project default claims experiences; substantial adverse court judgments or arbitration awards; regulatory investigations, charges or sanctions; and occurrences of disqualifications or exclusions by any public authority or organization from the right or opportunity to participate in a competitive procurement process.

This information will be evaluated as a pass/fail component. The City may also take into account this Due Diligence information, and any supplemental or related information the City may acquire through its own collateral or independent investigations or enquiries, in carrying out its evaluation and scoring of the Respondent’s financial and operational capacity and experience in those areas or categories outlined in Section 5.2.2.

For the purposes of this Section B 9, a Guarantor is considered to be a Team Member.

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