



City of Edmonton Office of the City Auditor

Recommendation Follow-up Dashboard Report

As At January 22, 2019

There are 27 outstanding recommendations: 18 are not yet due and 9 are overdue.



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Closed Recommendations

In the period since our last report (October 30, 2018) the administration closed thirteen recommendations

Project Name	Department	Recommendation
1. Affordable Housing Strategies Review	Citizens Services	Rec 1 - Improve Financial Tracking
2. Affordable Housing Strategies Review	Citizens Services	Rec 3 - Comply with Grant and Other City Contributions Directive
3. Affordable Housing Strategies Review	Citizens Services	Rec 4 - Develop a Performance Management Framework
4. Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 4 - Vendor Master File Monitoring
5. Corporate Culture	Employee Services	Rec 1 - Address Perceptions in Corporate Transformation
6. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 1 - Document Roles and Responsibilities
7. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 2 - Revise Policies and Develop operational Procedures
8. Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 3 - Reduce Patrol Constable Self-deployment
9. Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 4 - Investigative Support Bureau Staffing Process
10. Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 5 - Funding Formula Clarity
11. Investigation CPFS (eServices) Project 2010-2015	Urban Form and Corporate Strategic Development	Rec 1 – Improve Fraud Awareness
12. Investigation CPFS (eServices) Project 2010-2015	Urban Form and Corporate Strategic Development	Rec 2 – Update Contract Management Practices
13. Waste Services Audit	City Operations	Rec 6 - Improve Project Management

Overdue Recommendations

There are nine overdue recommendations.

More than one year overdue:

Project Name	Department	Recommendation	Months Overdue	Revised Due Date
1. Bus and LRT Review	City Operations	Rec 3 - Transit Funding and Fares	13	12/31/2018

Less than one year overdue:

Project Name	Department	Recommendation	Months Overdue	Revised Due Date
2. Employee Business Expense Audit	Financial and Corporate Services	Rec 4 - Examine Improvement Opportunities	7	3/15/2019
3. Waste Services Audit	City Operations	Rec 3 - Regulated versus Non-Regulated Allocation Methodology	6	2/28/2019
4. Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 2 - Improve Patrol Staffing Model Data Reliability and Accuracy	1	3/13/2019
5. Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 1 - Assess Patrol Staffing Models	1	3/31/2019
6. Waste Services Audit	City Operations	Rec 1 - Performance Management Framework Implementation	1	4/30/2019
7. Waste Services Audit	City Operations	Rec 2 - Operational Performance Reporting	1	4/30/2019
8. Waste Services Audit	City Operations	Rec 8 - Preventative Maintenance Processes	1	4/30/2019
9. 2017 Municipal Election	Office of the City Clerk	Rec 2 - Voting Station Oversight	1	12/31/2019

Recommendations Not Yet Due

There are 18 recommendations that are **not yet due**.

Project Name	Department	Recommendation	Months Until Due	Due Date
1. Community Projects in Neighbourhood Parks Process Audit	Integrated Infrastructure Services	Rec 1 - Determine the Program Owner	0	1/31/2019
2. Waste Services Audit	City Operations	Rec 4 - Strategic Update	1	2/28/2019
3. City Pesticide Use Audit	Citizens Services	Rec 3 - Public Communications	2	3/31/2019
4. Affordable Housing Strategies Review	Citizens Services	Rec 2 - Review Developer Sponsored Affordable Housing Program	2	3/31/2019
5. Africa Centre Governance and Management Review	Africa Centre Board of Directors	Rec 1 - Improve Governance Structure and Processes	3	4/30/2019
6. Africa Centre Governance and Management Review	Africa Centre Board of Directors	Rec 3 - Implement a Collaborative Budgeting Process	3	4/30/2019
7. City Pesticide Use Audit	City Operations	Rec 1 - Integrated Pest Management Policy Update	5	6/30/2019
8. Investment Policy Review	Financial and Corporate Services	Rec 1 - Revise Investment Policies	5	6/30/2019
9. Information Technology – Disaster Recovery Planning	Financial and Corporate Services	Rec 2 - <i>In Private</i>	5	6/30/2019
10. Affordable Housing Strategies Review	Citizens Services	Rec 5 - Update Policies	5	6/30/2019
11. Consultant Services Review	Financial and Corporate Services	Rec 1 - Training and Quality Assurance	5	6/30/2019
12. Consultant Services Review	Financial and Corporate Services	Rec 2 - Reducing Unplanned Change Orders	5	6/30/2019

Project Name	Department	Recommendation	Months Until Due	Due Date
13. Africa Centre Governance and Management Review	Africa Centre Board of Directors	Rec 2 - Update Governing Documents	5	6/30/2019
14. Community Projects in Neighbourhood Parks Process Audit	Integrated Infrastructure Services	Rec 2 - Develop a Performance Management Framework	11	12/31/2019
15. Community Projects in Neighbourhood Parks Process Audit	Integrated Infrastructure Services	Rec 3 - Improve Program	11	12/31/2019
16. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 3 - Review and Assess Service Level Performance	11	12/31/2019
17. 2017 Municipal Election	Office of the City Clerk	Rec 1 - Information Technology Support	24	12/31/2020
18. Information Technology – Disaster Recovery Planning	Financial and Corporate Services	Rec 1 - Fully Implement the Disaster Recovery Program	36	12/31/2021

This project was conducted in accordance with the *International Standards for the Professional Practice of Internal Auditing*