



Office of the City Auditor

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Office of the City Auditor

Recommendation Follow-up

Dashboard Report

As at August 31, 2017

The Office of the City Auditor conducted this
project in accordance with the
*International Standards for the
Professional Practice of Internal Auditing*

Office of the City Auditor Recommendation Follow-up Dashboard Report

As at August 31, 2017

As at August 31, 2017 the administration has 27 recommendations from the Office of the City Auditor that are outstanding. Based on the implementation dates provided by the administration, 24 of these recommendations are not yet due and 3 are overdue.

In the period since our last report (June 19, 2017) the administration has closed the following 8 recommendations:

*Eight
recommendations
closed*

Project # and Name	Department	Recommendation
1. 11336 - 2013 Municipal Election	Office of the City Clerk	Rec 2 - Logic and Accuracy Testing
2. 11336 - 2013 Municipal Election	Office of the City Clerk	Rec 3 - Worker Comprehension
3. 11336 - 2013 Municipal Election	Office of the City Clerk	Rec 5 - Ballot Design
4. 15391 - Bus and LRT Review	City Operations	Rec 1 - Transit Strategic Plan
5. 16415 - POSSE System Review	Urban Form and Corporate Strategic Development	Rec 1 - Clarify and ensure consistency of governing documents
6. 16415 - POSSE System Review	Urban Form and Corporate Strategic Development	Rec 3 - Enhance communication about key processes
7. 16415 - POSSE System Review	Urban Form and Corporate Strategic Development	Rec 4 - Improve efficiency of key processes
8. 17421 - Pedestrian Crosswalks	City Operations	Rec 1 - Documentation of Pedestrian Crosswalk Selection

The following tables contain the outstanding recommendations listed in the following categories:

Colour	Category	# of Recommendations
Red	More than one year overdue	0
Yellow	Less than one year overdue	3
Green	Not yet due	24

Three
recommendations
outstanding

Recommendations less than one year overdue				
Project # and Name	Department	Recommendation	Months Overdue	Revised Due Date
1. 16406 - Sand Recycling Audit	City Operations	Rec 1 - Winter Street Sand Recycling and Mixing Program Review	2	12/31/2017
2. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 1 - Review, Update, and Enforce Directive and Procedures	2	8/11/2017
3. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 2 - Travel Coordinator Use and Training	2	8/11/2017

Twenty four
recommendations
not yet due

Recommendations not due				
Project # and Name	Department	Recommendation	Months Until Due	Due Date
1. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 3 - Track Employee Travel Corporately	1	9/30/2017
2. 16413 - Facade Improvement and Development Incentive Programs Review	Regional and Economic Development	Rec 1 - Façade Improvement Program Policy and Development Incentive Program Policy Improvements	1	9/30/2017
3. 16413 - Facade Improvement and Development Incentive Programs Review	Regional and Economic Development	Rec 2 - Improve Grant Program Monitoring	1	9/30/2017
4. 16413 - Facade Improvement and Development Incentive Programs Review	Regional and Economic Development	Rec 3 - Compliance with Policies and Procedures	1	9/30/2017
5. 16406 - Sand Recycling Audit	City Operations	Rec 2 - Performance Management System	2	10/31/2017
6. 16406 - Sand Recycling Audit	City Operations	Rec 3 - Quality Assurance on Contract Content	2	10/31/2017
7. 16406 - Sand Recycling Audit	City Operations	Rec 4 - Program Management and Oversight	2	10/31/2017

Twenty four
recommendations
not yet due

Recommendations not due					
Project # and Name	Department	Recommendation	Months Until Due	Due Date	
8. 17420 - Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 3 - Vendor Master File Clean Up	3	11/30/2017	
9. 15391 - Bus and LRT Review	City Operations	Rec 3 - Transit Funding and Fares	4	12/30/2017	
10. 15396 - Neighbourhood Renewal	Integrated Infrastructure Services	Rec 3 - Public Communications Improvements	4	12/31/2017	
11. 16404 - Utilities Infrastructure Audit	Integrated Infrastructure Services	Rec 1 - Overtime Use and Monitoring Guidelines	4	12/31/2017	
12. 17420 - Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 1 - Revise policies, procedures, and work instructions	4	12/31/2017	
13. 17420 - Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 2 - Confirmation of addition or change to vendor	4	12/31/2017	
14. 17420 - Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 4 - Vendor Master File Monitoring	4	12/31/2017	
15. 17421 - Pedestrian Crosswalks	City Operations	Rec 2 - Guidelines for New Types of Pedestrian Crosswalk Devices	4	12/31/2017	
16. 17421 - Pedestrian Crosswalks	City Operations	Rec 4 - Pedestrian Crosswalk Monitoring and Evaluation	4	12/31/2017	
17. 16415 - POSSE System Review	Urban Form and Corporate Strategic Services	Rec 2 - Determine the Value and Total Cost of POSSE	6	2/28/2018	
18. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 4 - Examine Improvement Opportunities	10	6/30/2018	
19. 17421 - Pedestrian Crosswalks	City Operations	Rec 3 - Pedestrian Crosswalks Construction Standards	10	6/30/2018	
20. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 1 - Assess patrol staffing models	16	12/31/2018	
21. 16411 - Edmonton Police Service	Edmonton Police	Rec 2 - Improve patrol staffing model	16	12/31/2018	

*Twenty four
recommendations
not yet due*

Recommendations not due					
Project # and Name	Department	Recommendation	Months Until Due	Due Date	
Staffing Audit	Services	data reliability and accuracy			
22. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 3 - Reduce patrol constable self- deployment	16	12/31/2018	
23. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 4 - Investigative Support Bureau staffing process	16	12/31/2018	
24. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 5 - Funding Formula Clarity	16	12/31/2018	