



Office of the City Auditor

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[edmonton.ca/auditor](http://edmonton.ca/auditor)



# **Office of the City Auditor**

## **Recommendation Follow-up**

### **Dashboard Report**

**As at March 29, 2018**

The Office of the City Auditor conducted this  
project in accordance with the  
*International Standards for the  
Professional Practice of Internal Auditing*

## Office of the City Auditor Recommendation Follow-up Dashboard Report

As at March 29, 2018

As at March 29, 2018 the administration has 35 recommendations from the Office of the City Auditor that are outstanding. Based on the implementation dates provided by the Administration, 27 of these recommendations are not yet due and 8 are overdue.

In the period since our last report (January 26, 2018) the administration has closed the following 2 recommendations:

*Two  
recommendations  
closed*

Project # and Name	Department	Recommendation
16413 - Facade Improvement and Development Incentive Programs Review	Regional and Economic Development	Rec 2 - Improve Grant Program Monitoring
17420 - Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 2 - Confirmation of Addition or Change to Vendor

The following tables contain the outstanding recommendations listed in the following categories:

Colour	Category	# of Recommendations
Red	More than one year overdue	0
Yellow	Less than one year overdue	8
Green	Not yet due	27

*Eight  
recommendations  
outstanding*

<b>Recommendations less than one year overdue</b>					
<b>Project # and Name</b>	<b>Department</b>	<b>Recommendation</b>	<b>Months Overdue</b>	<b>Revised Due Date</b>	
1. 16406 - Sand Recycling Audit	City Operations	Rec 1 - Winter Street Sand Recycling and Mixing Program Review	9	7/31/2018	
2. 16406 - Sand Recycling Audit	City Operations	Rec 2 - Performance Management System	5	7/31/2018	
3. 16406 - Sand Recycling Audit	City Operations	Rec 3 - Quality Assurance on Contract Content	5	7/31/2018	
4. 16406 - Sand Recycling Audit	City Operations	Rec 4 - Program Management and Oversight	5	7/31/2018	
5. 17420 - Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 3 - Vendor Master File Clean Up	4	6/30/2018	
6. 15391 - Bus and LRT Review	City Operations	Rec 3 - Transit Funding and Fares	3	8/31/2018	
7. 17420 - Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 4 - Vendor Master File Monitoring	3	6/30/2018	
8. 16415 - POSSE System Review	Urban Form and Corporate Strategic Services	Rec 2 - Determine the Value and Total Cost of POSSE	1	6/30/2018	

*Twenty-seven  
recommendations  
not yet due*

<b>Recommendations not due</b>					
<b>Project # and Name</b>	<b>Department</b>	<b>Recommendation</b>	<b>Months Until Due</b>	<b>Due Date</b>	
1. 16417 - Competitive Procurement Evaluation Process Audit	Financial and Corporate Services	Rec 1 - Identification of Mandatory Requirements	0	3/31/2018	
2. 17425 - Waste Services Audit	City Operations	Rec 5 - Improve Business Case Process	3	6/29/2018	
3. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 4 - Examine Improvement Opportunities	3	6/30/2018	
4. 17421 - Pedestrian Crosswalks	City Operations	Rec 3 - Pedestrian Crosswalks Construction Standards	3	6/30/2018	

Twenty-seven  
recommendations  
not yet due

<b>Recommendations not due</b>					
<b>Project # and Name</b>	<b>Department</b>	<b>Recommendation</b>	<b>Months Until Due</b>	<b>Due Date</b>	
5. 17425 - Waste Services Audit	City Operations	Rec 7 - Condition Assessment and Data Verification Procedures	3	6/30/2018	
6. 17425 - Waste Services Audit	City Operations	Rec 3 - Regulated versus Non-Regulated Allocation Methodology	4	7/31/2018	
7. 17422 - Corporate Culture	Office of the City Manager	Rec 2 - Identify and Formalize Corporate Culture Office Governance Structure and Conduct Outreach	6	9/30/2018	
8. 17427 - Bridge Maintenance Audit	City Operations	Rec 1 - Quality Assurance and Control Process	6	9/30/2018	
9. 17427 - Bridge Maintenance Audit	City Operations	Rec 2 - Tracking of Maintenance Deficiencies	6	9/30/2018	
10. 17427 - Bridge Maintenance Audit	City Operations	Rec 3 - Governance of City Bridges	6	9/30/2018	
11. 17427 - Bridge Maintenance Audit	City Operations	Rec 4 - Non-City Owned Bridges	6	9/30/2018	
12. 17428 - City Pesticide Use Audit	City Operations	Rec 2 - Compliance with Regulations	6	9/30/2018	
13. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 1 - Assess Patrol Staffing Models	9	12/31/2018	
14. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 2 - Improve Patrol Staffing Model Data Reliability and Accuracy	9	12/31/2018	
15. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 3 - Reduce Patrol Constable Self-deployment	9	12/31/2018	
16. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 4 - Investigative Support Bureau Staffing Process	9	12/31/2018	
17. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 5 - Funding Formula Clarity	9	12/31/2018	
18. 16418 - 2017 Municipal Election	Office of the City Clerk	Rec 2 - Voting Station Oversight	9	12/31/2018	

Twenty-seven  
recommendations  
not yet due

<b>Recommendations not due</b>					
<b>Project # and Name</b>	<b>Department</b>	<b>Recommendation</b>	<b>Months Until Due</b>	<b>Due Date</b>	
19. 17422 - Corporate Culture	Office of the City Manager	Rec 1 - Address Perceptions in Corporate Transformation	9	12/31/2018	
20. 17425 - Waste Services Audit	City Operations	Rec 1 - Performance Management Framework Implementation	9	12/31/2018	
21. 17425 - Waste Services Audit	City Operations	Rec 2 - Operational Performance Reporting	9	12/31/2018	
22. 17425 - Waste Services Audit	City Operations	Rec 6 - Improve Project Management	9	12/31/2018	
23. 17425 - Waste Services Audit	City Operations	Rec 8 - Preventative Maintenance Processes	9	12/31/2018	
24. 17425 - Waste Services Audit	City Operations	Rec 4 - Strategic Update	11	2/28/2019	
25. 17428 - City Pesticide Use Audit	City Operations	Rec 3 - Public Communications	12	3/31/2019	
26. 17428 - City Pesticide Use Audit	City Operations	Rec 1 - Integrated Pest Management Policy Update	15	6/30/2019	
27. 16418 - 2017 Municipal Election	Office of the City Clerk	Rec 1 - Information Technology Support	34	12/31/2020	