



OFFICE OF THE
City Auditor

**Office of the City Auditor Recommendation
Follow-up Dashboard Report**
As at May 31, 2016

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Office of the City Auditor Recommendation Follow-up Dashboard Report

As at May 31, 2016

As at May 31, 2016 the administration has 19 recommendations from the Office of the City Auditor that are outstanding. Based on the implementation dates provided by the administration, 15 of these recommendations are not yet due and 4 are overdue.

Typically, Administration brings forward a report providing a status update on any recommendations that are more than six months overdue. As there are no recommendations more than six months overdue for this reporting period, they deemed this report not required.

In the period since our last report (March 31 to May 31, 2016) the administration has closed the following 9 recommendations.

Project # and Name	Department	Recommendation
15397 - Coin Processing Audit	Financial & Corporate Services	Rec 1 - Additional Review of Risks
15397 - Coin Processing Audit	Financial & Corporate Services	Rec 2 - Assessing Performance Measures
15392 - Metro Line LRT Signal System	Financial & Corporate Services	Rec 1 - Contract Management Principles
15392 - Metro Line LRT Signal System	Integrated Infrastructure Services	Rec 3 - Project Reporting
15389 - Performance Measures Validation	City Managers Office	Rec 1 - Procedure Manual for Performance Measure Owners
15384 - Fleet Services Branch Audit	City Operations	Rec 4 - Procurement Process Controls
14373 - Transportation Contributed Assets	Sustainable Development	Develop and communicate to all inspector an Operations Manual
14373 - Transportation Contributed Assets	Sustainable Development	Clearly define the City's role to "Monitor" vs "Inspect"
14373 - Transportation Contributed Assets	Sustainable Development	Jointly develop and establish a service level agreement

The following tables contain the outstanding recommendations listed in the following categories:

Colour	Category	# of Recommendations
Red	More than one year overdue	0
Yellow	Less than one year overdue	4
Green	Not yet due	15

Recommendations less than one year overdue

#	Project # and Name	Department	Recommendation	Months Overdue	Revised Due Date
1.	14369 - City Streets Audit	City Operations	Document operating procedures	5	6/30/2016
2.	14369 - City Streets Audit	City Operations	Document Strategies	5	6/30/2016
3.	14369 - City Streets Audit	City Operations	Document reasons for selecting neighbourhood projects	5	6/30/2016
4.	14373 - Transportation Contributed Assets	Sustainable Development	Standards and Specifications Document	2	12/31/2016

Recommendations not due

#	Project # and Name	Department	Recommendation	Months Until Due	Due Date
1.	14371 - Continuous Auditing	Financial & Corporate Services	Rec 2 - Improve Restricted Purchase Guidelines	0	5/31/2016
2.	15384 - Fleet Services Branch Audit	City Operations	Rec 2 - Training Process	1	6/30/2016
3.	15387 - Traffic Shortcutting	Sustainable Development	Traffic Management Policy	1	6/30/2016
4.	15387 - Traffic Shortcutting	Sustainable Development	Centralize Risk Ownership	4	9/30/2016
5.	11336 - 2013 Municipal Election	Office of the City Clerk	Voting Station Logistics - Rec 4	7	12/31/2016
6.	15391 - Bus and LRT Review	City Operations	Rec 2 - Performance Measures	7	12/31/2016
7.	15396 - Neighbourhood Renewal Program Audit	City Operations	Rec 1 - Consistent Reporting Methodology	7	12/31/2016
8.	15396 - Neighbourhood Renewal Program Audit	Integrated Infrastructure Services	Rec 2 - Bonus Oversight and Change Order Compliance	7	12/31/2016
9.	15391 - Bus and LRT Review	City Operations	Rec 1 - Transit Strategic Plan	13	6/30/2017
10.	11336 - 2013 Municipal Election	Office of the City Clerk	Ballot Design - Rec 5	14	7/31/2017

Recommendations not due

#	Project # and Name	Department	Recommendation	Months Until Due	Due Date
11.	11336 - 2013 Municipal Election	Office of the City Clerk	Logic and Accuracy Testing - Rec 2	14	7/31/2017
12.	11336 - 2013 Municipal Election	Office of the City Clerk	Worker Comprehension - Rec 3	14	7/31/2017
13.	15391 - Bus and LRT Review	City Operations	Rec 3 - Transit Funding and Fares	19	12/30/2017
14.	15396 - Neighbourhood Renewal Program Audit	Integrated Infrastructure Services	Rec 3 - Public Communication Improvements	31	12/31/2018
15.	15396 - Neighbourhood Renewal Program Audit	Integrated Infrastructure Services	Rec 4 - Inquiry Management System	31	12/31/2018