



# City of Edmonton Office of the City Auditor

## Recommendation Follow-up Dashboard Report

As At June 12, 2018

There are 31 outstanding recommendations: 25 are not yet due and 6 are overdue.



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## Closed Recommendations

**In the period since our last report (March 29, 2018) the administration closed nine recommendations.**

<b>Project Name</b>	<b>Department</b>	<b>Recommendation</b>
1. Bridge Maintenance Audit	City Operations	Rec 1 - Quality Assurance and Control Process
2. Bridge Maintenance Audit	City Operations	Rec 2 - Tracking of Maintenance Deficiencies
3. Bridge Maintenance Audit	City Operations	Rec 3 - Governance of City Bridges
4. Bridge Maintenance Audit	City Operations	Rec 4 - Non-City Owned Bridges
5. Pedestrian Crosswalks	City Operations	Rec 3 - Pedestrian Crosswalks Construction Standards
6. Competitive Procurement Evaluation Process Audit	Financial and Corporate Services	Rec 1 - Identification of Mandatory Requirements
7. Investment Policy Review	Financial and Corporate Services	Rec 2 - Include All Required Information in Annual Reports
8. Sand Recycling Audit	City Operations	Rec 1 - Winter Street Sand Recycling and Mixing Program Review
9. Sand Recycling Audit	City Operations	Rec 3 - Quality Assurance on Contract Content

## Overdue Recommendations

There are six overdue recommendations. They are all **less than one year overdue.**

Project Name	Department	Recommendation	Months Overdue	Revised Due Date
1. Sand Recycling Audit	City Operations	Rec 2 - Performance Management System	7	7/31/2018
2. Sand Recycling Audit	City Operations	Rec 4 - Program Management and Oversight	7	7/31/2018
3. Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 3 - Vendor Master File Clean Up	6	6/30/2018
4. Bus and LRT Review	City Operations	Rec 3 - Transit Funding and Fares	5	8/31/2018
5. Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 4 - Vendor Master File Monitoring	5	6/30/2018
6. POSSE System Review	Urban Form and Corporate Strategic Services	Rec 2 - Determine the Value and Total Cost of POSSE	3	6/30/2018



# Recommendations Not Yet Due

There are 25 recommendations that are **not yet due**.

Project Name	Department	Recommendation	Months Until Due	Due Date
1. Waste Services Audit	City Operations	Rec 5 - Improve Business Case Process	1	6/29/2018
2. Waste Services Audit	City Operations	Rec 7 - Condition Assessment and Data Verification Procedures	1	6/30/2018
3. Employee Business Expense Audit	Financial and Corporate Services	Rec 4 - Examine Improvement Opportunities	1	6/30/2018
4. Waste Services Audit	City Operations	Rec 3 - Regulated versus Non-Regulated Allocation Methodology	2	7/31/2018
5. City Pesticide Use	Citizens Services	Rec 2 - Compliance with Regulations	4	9/30/2018
6. Corporate Culture	Office of the City Manager	Rec 2 - Identify and Formalize Corporate Culture Office Governance Structure and Conduct Outreach	4	9/30/2018
7. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 1 - Assess Patrol Staffing Models	7	12/31/2018
8. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 2 - Improve Patrol Staffing Model Data Reliability and Accuracy	7	12/31/2018
9. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 3 - Reduce Patrol Constable Self-deployment	7	12/31/2018
10. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 4 - Investigative Support Bureau Staffing Process	7	12/31/2018
11. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 5 - Funding Formula Clarity	7	12/31/2018
12. 2017 Municipal Election	Office of the City Clerk	Rec 2 - Voting Station Oversight	7	12/31/2018

<b>Project Name</b>	<b>Department</b>	<b>Recommendation</b>	<b>Months Until Due</b>	<b>Due Date</b>
13. Corporate Culture	Office of the City Manager	Rec 1 - Address Perceptions in Corporate Transformation	7	12/31/2018
14. Waste Services Audit	City Operations	Rec 1 - Performance Management Framework Implementation	7	12/31/2018
15. Waste Services Audit	City Operations	Rec 2 - Operational Performance Reporting	7	12/31/2018
16. Waste Services Audit	City Operations	Rec 6 - Improve Project Management	7	12/31/2018
17. Waste Services Audit	City Operations	Rec 8 - Preventative Maintenance Processes	7	12/31/2018
18. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 1 - Document Roles and Responsibilities	7	12/31/2018
19. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 2 - Revise Policies and Develop operational Procedures	7	12/31/2018
20. Waste Services Audit	City Operations	Rec 4 - Strategic Update	9	2/28/2019
21. City Pesticide Use Audit	Citizens Services	Rec 3 - Public Communications	10	3/31/2019
22. City Pesticide Use Audit	City Operations	Rec 1 - Integrated Pest Management Policy Update	13	6/30/2019
23. Investment Policy Review	Financial and Corporate Services	Rec 1 - Revise Investment Policies	13	6/30/2019
24. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 3 - Review and Assess Service Level Performance	19	12/31/2019
25. 2017 Municipal Election	Office of the City Clerk	Rec 1 - Information Technology Support	31	12/31/2020

For more information about the

**Office of the City Auditor**

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This project was conducted in accordance with the  
*International Standards for the Professional Practice of  
Internal Auditing*