



City of Edmonton Office of the City Auditor

Recommendation Follow-up Dashboard Report

As At August 17, 2018

There are 34 outstanding recommendations: 28 are not yet due and 6 are overdue.



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Closed Recommendations




In the period since our last report (June 12, 2018) the administration **closed four recommendations**

Project Name	Department	Recommendation
1. Waste Services Audit	City Operations	Rec 7 - Condition Assessment and Data Verification Procedures
2. POSSE System Review	Urban Form and Corporate Strategic Development	Rec 2 - Determine the Value and Total Cost of POSSE
3. Sand Recycling Audit	City Operations	Rec 4 - Program Management and Oversight
4. Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 3 - Vendor Master File Clean Up



Overdue Recommendations

There are six overdue recommendations. They are all **less than one year overdue.**



Project Name	Department	Recommendation	Months Overdue	Revised Due Date
1. Sand Recycling Audit	City Operations	Rec 2 - Performance Management System	10	10/15/2018
2. Bus and LRT Review	City Operations	Rec 3 - Transit Funding and Fares	8	12/31/2018
3. Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 4 - Vendor Master File Monitoring	8	11/30/2018
4. Waste Services Audit	City Operations	Rec 5 - Improve Business Case Process	2	10/5/2018
5. Employee Business Expense Audit	Financial and Corporate Services	Rec 4 - Examine Improvement Opportunities	2	8/30/2018
6. Waste Services Audit	City Operations	Rec 3 - Regulated versus Non-Regulated Allocation Methodology	1	10/19/2018

Recommendations Not Yet Due

There are 28 recommendations that are **not yet due**.

Project Name	Department	Recommendation	Months Until Due	Due Date
1. City Pesticide Use	Citizens Services	Rec 2 - Compliance with Regulations	1	9/30/2018
2. Corporate Culture	Employee Services	Rec 2 - Identify and Formalize Corporate Culture Office Governance Structure and Conduct Outreach	1	9/30/2018
3. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 1 - Assess Patrol Staffing Models	4	12/31/2018
4. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 2 - Improve Patrol Staffing Model Data Reliability and Accuracy	4	12/31/2018
5. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 3 - Reduce Patrol Constable Self-deployment	4	12/31/2018
6. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 4 - Investigative Support Bureau Staffing Process	4	12/31/2018
7. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 5 - Funding Formula Clarity	4	12/31/2018
8. 2017 Municipal Election	Office of the City Clerk	Rec 2 - Voting Station Oversight	4	12/31/2018
9. Corporate Culture	Employee Services	Rec 1 - Address Perceptions in Corporate Transformation	4	12/31/2018
10. Waste Services Audit	City Operations	Rec 1 - Performance Management Framework Implementation	4	12/31/2018
11. Waste Services Audit	City Operations	Rec 2 - Operational Performance Reporting	4	12/31/2018
12. Waste Services Audit	City Operations	Rec 6 - Improve Project Management	4	12/31/2018
13. Waste Services Audit	City Operations	Rec 8 - Preventative Maintenance Processes	4	12/31/2018

Project Name	Department	Recommendation	Months Until Due	Due Date
14. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 1 - Document Roles and Responsibilities	4	12/31/2018
15. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 2 - Revise Policies and Develop operational Procedures	4	12/31/2018
16. Affordable Housing Strategies Review	Citizens Services	Rec 4 - Develop a Performance Management Framework	4	12/31/2018
17. Waste Services Audit	City Operations	Rec 4 - Strategic Update	6	2/28/2019
18. City Pesticide Use Audit	Citizens Services	Rec 3 - Public Communications	7	3/31/2019
19. Affordable Housing Strategies Review	Citizens Services	Rec 1 - Improve Financial Tracking	7	3/31/2019
20. Affordable Housing Strategies Review	Citizens Services	Rec 2 - Review Developer Sponsored Affordable Housing Program	7	3/31/2019
21. Affordable Housing Strategies Review	Citizens Services	Rec 3 - Comply with Grant and Other City Contributions Directive	7	3/31/2019
22. City Pesticide Use Audit	City Operations	Rec 1 - Integrated Pest Management Policy Update	10	6/30/2019
23. Investment Policy Review	Financial and Corporate Services	Rec 1 - Revise Investment Policies	10	6/30/2019
24. Information Technology – Disaster Recovery Planning	Financial and Corporate Services	Rec 2 - <i>In Private</i>	10	6/30/2019
25. Affordable Housing Strategies Review	Citizens Services	Rec 5 - Update Policies	10	6/30/2019
26. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 3 - Review and Assess Service Level Performance	16	12/31/2019

Project Name	Department	Recommendation	Months Until Due	Due Date
27. 2017 Municipal Election	Office of the City Clerk	Rec 1 - Information Technology Support	28	12/31/2020
28. Information Technology – Disaster Recovery Planning	Financial and Corporate Services	Rec 1 - Fully Implement the Disaster Recovery Program	40	12/31/2021

This project was conducted in accordance with the *International Standards for the Professional Practice of Internal Auditing*